

**INFORMATION DISCLOSURE  
CITATION IN AN  
APPLICATION**

(PTO-1449)

ATTY. DOCKET NO.  
102632.121 US1

SERIAL NO.  
09/822,868

APPLICANT  
Richard P. TRACY et al.

FILING DATE  
April 2, 2001

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**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
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F.S.	6,134,664	10/17/00	Walker	713	201	07/06/98
F.S.	6,006,328	12/21/99	Drake	713	200	07/12/96
F.S.	5,892,903	04/06/99	Klaus	395	187.01	09/12/96
F.S.	5,859,847	01/12/99	Dew et al.	370	389	12/20/96
F.S.	5,850,516	12/15/98	Schneier	395	186	12/23/96
F.S.	5,796,942	08/18/98	Esbensen	395	187.01	11/21/96
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F.S.	5,625,751	04/29/97	Brandwajn et al.	395	22	08/30/94
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**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

FS	Linger, Richard C. November 2000. "Systematic Generation of Stochastic Diversity as an Intrusion Barrier in Survivable Systems Software." Carnegie Mellon University. <a href="http://www.sei.cmu.edu/programs/nss/stochastic-divers.html">http://www.sei.cmu.edu/programs/nss/stochastic-divers.html</a>
FS	The Software Engineering Institute (SEI). November 2000. "Operationally Critical Threat, Asset, and Vulnerability Evaluation (Octave) Framework. Version 1.0." Carnegie Mellon University. <a href="http://www.sei.cmu.edu/publications/documents/99.reports/99tr017/99tr017chap01.html">http://www.sei.cmu.edu/publications/documents/99.reports/99tr017/99tr017chap01.html</a>
FS	The Software Engineering Institute (SEI). November 2000. "Survivable Network Analysis." Carnegie Mellon University. <a href="http://www.sei.cmu.edu/organization/programs/nss/analysis-method.html">http://www.sei.cmu.edu/organization/programs/nss/analysis-method.html</a>
FS	XACTA™ Corporation. September 2000. Web C&A™. Ashburn, VA: Xacta Corporation.
FS	Winkler, Ira, Al Berg, George Kurtz, Chris Prosis, and Dan Swanson. July 2000. "Audits, Assessments & Tests (Oh My)." <a href="http://www.infosecuritymag.com/july2000/audits.html">http://www.infosecuritymag.com/july2000/audits.html</a>

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FS	Dorobek, Christopher J. May 2000. "Project Matrix Identifies How Systems Interact." <i>Government Computer News</i> . Post-Newsweek Tech Media Group, Inc. <a href="http://www.gcn.com/vol19_no11/news/1974-1.html">http://www.gcn.com/vol19_no11/news/1974-1.html</a>		
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FS	Government Computer News. August 1999. "Scanner Suite Increases its Risk Assessment Tool Features." Post-Newsweek Tech Media Group, Inc. <a href="http://www.gcn.com/vol18_no28/com/495-1.html">http://www.gcn.com/vol18_no28/com/495-1.html</a>		
FS	FDIC. July 1999. "Risk Assessment Tools and Practices for Information System Security." <a href="http://www.fdic.gov/news/financial/1999/FIL9968a.html">http://www.fdic.gov/news/financial/1999/FIL9968a.html</a>		
FS	Fisher, David A., and Howard F. Lipson. January 1999. "Emergent Algorithms: A New Method for Enhancing Survivability in Unbounded Systems." <i>Proceedings of the Hawaii International Conference On System Sciences</i> . Maui, Hawaii: IEEE. <a href="http://www.sei.cmu.edu/organization/programs/nss/emergent-algor.html">http://www.sei.cmu.edu/organization/programs/nss/emergent-algor.html</a>		
FS	Ellison, R. J., R. C. Linger, T. Longstaff, and N. R. Mead. September 1998. <i>A Case Study in Survivable Network System Analysis</i> . Pittsburgh, PA: Carnegie Mellon Software Engineering Institute.		
FS	Linger, R. C., N. R. Mead, and H. F. Lipson. 1998. "Requirements Definition for Survivable Network Systems." <i>IEEE</i> . <a href="http://www.sei.cmu.edu/programs/nss/icre.html">http://www.sei.cmu.edu/programs/nss/icre.html</a>		
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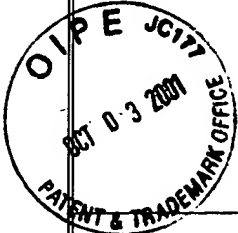
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FS	Ellison, Robert J., David A. Fisher, Richard C. Linger, Howard F. Lipson, Thomas A. Longstaff, and Nancy R. Mead. 1998. "Survivability: Protecting Your Critical Systems." <i>Proceedings of the International Conference on Requirements Engineering</i> . Colorado Springs, CO: IEEE. <a href="http://www.sei.cmu.edu/programs/nss/project-critical-systems.html">http://www.sei.cmu.edu/programs/nss/project-critical-systems.html</a>
FS	Valletta, Anthony M. December 1997. "DoD Information Technology Security Certification and Accreditation Process (DITSCAP)." <i>Department of Defense INSTRUCTION</i> . Doc. No. 5200.40
FS	Bassham, Lawrence E., and Timothy Polk. "Threat Assessment of Malicious Code and Human Computer Threats." October 1992. National Institute of Standards and Technology. <a href="http://www.it.kth.se/~cwe/wastebin/threat-assess.html">http://www.it.kth.se/~cwe/wastebin/threat-assess.html</a>
FS	"System Accreditation." <a href="http://bsp.cio.gov/getfile.cfm?messageid=000">http://bsp.cio.gov/getfile.cfm?messageid=000</a>
FS	Ellison, Robert J., Richard C. Linger, Thomas Longstaff, and Nancy R. Mead. "Survivable Network System Analysis: A Case Study." Carnegie Mellon University.

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